

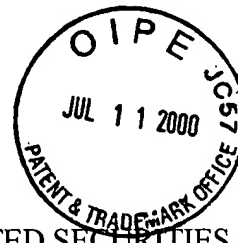
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Kaiser et al.
Serial No. : 09/465,607
Filed : December 17, 1999
Title : COMPUTER-IMPLEMENTED SECURITIES TRADING
SYSTEM WITH A VIRTUAL SPECIALIST FUNCTION



Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant respectfully submits this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98. An Information Disclosure Citation List and a copy of each document listed therein are enclosed.

The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document.

Please return a copy of the initialed Citation form to the applicant's undersigned Attorney.

Respectfully submitted,

Dated: July 6, 2000

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I hereby certify that this paper is being deposited this date with the
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